

A

SHEET 1 OF 1

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
MVM DINC.5DV1C1

APPLICATION NO.
10/696,799

SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

APPLICANT
Frazier, et al.

FILING DATE
October 30, 2003

GROUP
3763

(USE SEVERAL SHEETS IF NECESSARY)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	5,372,599	12/13/94	Martins			
	2	5,569,306	10/29/96	Thal			
	3	5,782,864	07/21/98	Lizardi			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	

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EXAMINER	DATE CONSIDERED <u>Sept 16, 2005</u>
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

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U.S. PATENT DOCUMENTS

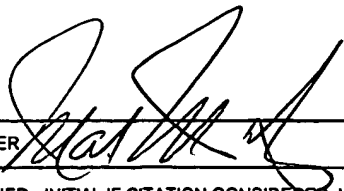
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)

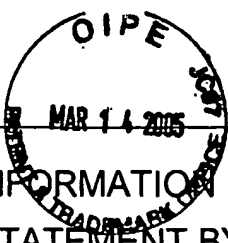
FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	0 474 887 B1	06/15/94	EP				
	GB 2 269 321	02/09/94	GB				
	WO 96/32882	10/24/96	PCT				
	WO 98/33462	08/06/98	PCT				
	WO 99/40849	08/19/99	PCT				
	WO 01/17435	03/15/01	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	Supplementary Partial European Search Report dated June 9, 2004

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072804

EXAMINER		DATE CONSIDERED	Sept 16, 2005
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PTO/SB/08 Equivalent

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Multiple sheets used when necessary)	Application No.	10/696,799
	Filing Date	October 30, 2003
	First Named Inventor	Frazier, et al.
	Art Unit	3763
SHEET 1 OF 1	Examiner	Manuel Mendez
	Attorney Docket No.	MVMDINC.5DV1C1

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		5,207,695	05/04/1993	Trout, III	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ¹

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ¹
		THE PATENT OFFICE OF THE STATE INTELLECTUAL PROPERTY OFFICE OF THE PEOPLE'S REPUBLIC OF CHINA, First Office Action, December 10, 2004, Chinese Application No. 00813088.4, filed September 20, 2000.	

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Examiner Signature	Date Considered <u>Sept 16, 2005</u>
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T¹ - Place a check mark in this area when an English language Translation is attached.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MVMDINC.5DV1C1	APPLICATION NO. Unknown
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Andrew G.C. Frazier et al.	
		FILING DATE Herewith	GROUP Unknown

U.S. PATENT DOCUMENTS

EXAMINER (INITIAL)		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1.	3,874,388	04/01/75	King et al.			
	2.	4,007,743	02/15/77	Blake			
	3.	4,710,192	12/1987	Liotta et al.			
	4.	4,950,227	08/21/90	Savin et al.			
	5.	4,950,285	08/1990	Wilk			
	6.	4,994,069	02/1991	Ritchart et al.			
	7.	5,108,420	04/28/92	Marks			
	8.	5,123,428	06/1992	Schwarz			
	9.	5,171,259	12/1992	Inoune			
	10.	5,281,238	01/1994	Chin et al.			
	11.	5,282,827	02/1994	Kensey et al.			
	12.	5,306,234	04/1994	Johnson			
	13.	5,350,399	09/27/94	Erlebacher et al.			
	14.	5,373,840	12/20/94	Knighton			
	15.	5,375,612	12/1994	Cottenceau et al.			
	16.	5,403,326	04/1995	Harrison et al.			
	17.	5,417,699	05/23/95	Klein et al.			
	18.	5,527,338	06/1996	Purdy			
	19.	5,593,422	01/1997	Muijs Van Der Moer et al.			
	20.	5,593,424	01/1997	Northrup III			
	21.	5,632,753	05/1997	Loeser			
	22.	5,709,224	01/1998	Behl et al.			
	23.	5,713,907	02/1998	Hogendijk et al.			
	24.	5,746,747	05/1998	McKeating			
	25.	5,814,068	09/1998	Koike et al.			
	26.	5,827,268	10/1998	Laufer			
	27.	5,843,118	12/1998	Sepetka et al.			

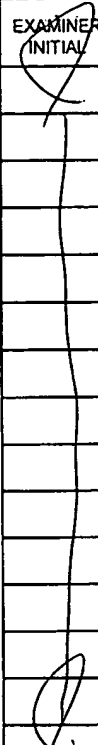
EXAMINER

DATE CONSIDERED

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	APPLICANT Andrew G.C. Frazier et al.	
	FILING DATE Herewith	GROUP Unknown

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	28.	5,849,004	12/98	Bramlet			
	29.	5,853,422	12/29/98	Huebsch et al.			
	30.	5,860,891	01/1999	Klein et al.			
	31.	5,861,003	01/19/99	Latson et al.			
	32.	5,865,791	02/1999	Whayne et al.			
	33.	5,868,762	02/99	Cragg et al,			
	34.	5,879,366	03/1999	Shaw et al.			
	35.	5,968,053	10/1999	Revelas			
	36.	5,957,900	09/28/99	Ouchi			
	37.	6,231,561 B1	08/28/01	Frazier et al.			
	38.	6,258,087 B1	07/10/01	Edwards et al.			
	39.	6,280,414 B1	08/28/01	Shah et al.			
	40.	6,290,674 B1	09/18/01	Roue et al.			
	41.	6,328,727 B1	12/01	Frazier et al.			
	42.	6,419,669 B1	07/16/02	Frazier et al.			

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	43.	Blackshear JL, Odell JA., <u>Appendage Obliteration to Reduce Stroke in Cardiac Surgical Patients With Atrial Fibrillation</u> , Ann Thorac. Surg., 1996.61(2): 755-9.
	44.	Lindsay BD., <u>Obliteration of the Left Atrial Appendage: A Concept Worth Testing</u> , Ann Thorac. Surg., 1996.61(2): 515.

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